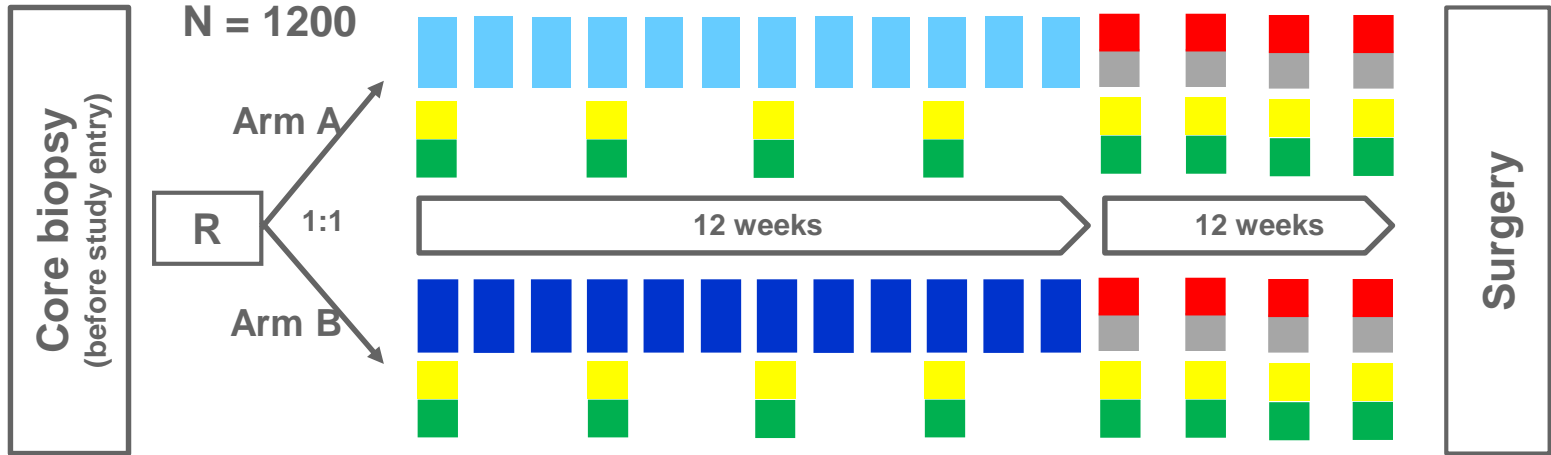


**Survival analysis of the prospectively randomized phase III
GeparSepto trial comparing neoadjuvant chemotherapy with weekly
nab-paclitaxel with solvent-based paclitaxel followed by
anthracycline/cyclophosphamide for patients with early breast cancer
– GBG69**

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- A joint study of the AGO Breast and the German Breast Group -


Study Design







STRATIFICATION FACTORS:

- HER2+/HR- vs. HER2+/HR+ vs. HER2-/HR- vs. HER2-/HR+
- Ki67 ($\leq 20\%$ vs. $> 20\%$)
- SPARC (positive vs. negative)

 Paclitaxel 80 mg/m² weekly

 Nab-paclitaxel 150 mg/m² weekly
The dose was reduced to 125 mg/m² after recruitment of 464 patients

 Epirubicin 90 mg/m²
 Cyclophosphamide 600 mg/m²

HER2 positive patients:
 Trastuzumab 8 mg/kg (loading dose) → 6 mg/kg
 Pertuzumab 840 mg (loading dose) → 420 mg

Main Eligibility Criteria

- **Unilateral or bilateral primary breast cancer**
- **Stages**
 - cT2 - cT4a-d
 - cT1c and additional high risk
 - cN+ *or*
 - pN_{SLN+} *or*
 - ER-neg and PR-neg *or*
 - Ki67 > 20% *or*
 - HER2-positive
- **Central testing for HER2, HR, Ki67, and SPARC¹**

Study Endpoints

Primary endpoint:

- pCR rate (ypT0 ypN0)

Secondary efficacy endpoints

(overall and according to stratified subpopulations):

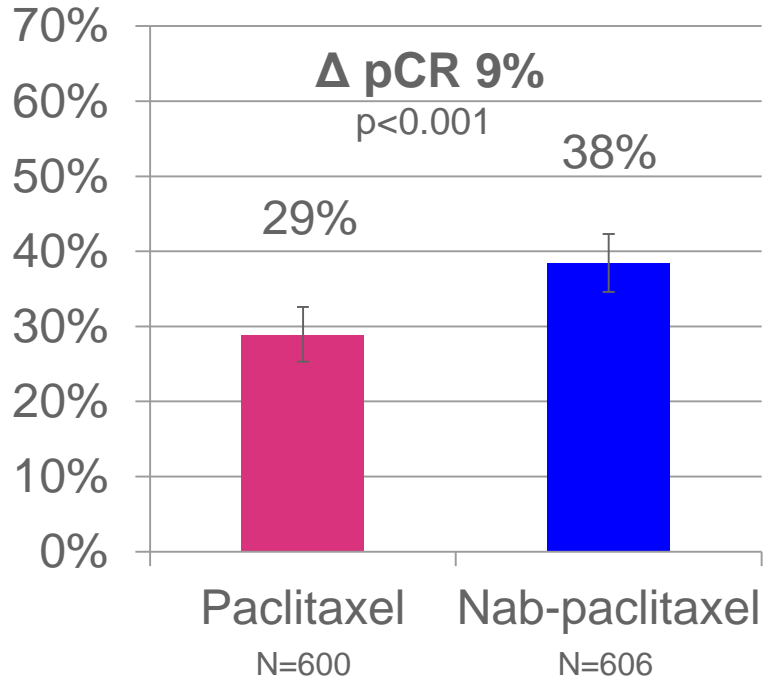
- disease-free survival (DFS)
- distant disease-free survival (DDFS)
- overall survival (OS)

Patient and tumor characteristics (baseline)



	Paclitaxel N=600 (%)	Nab-paclitaxel N=606 (%)	Overall N=1204 (%)
Age (median, yrs)	48 (22 - 76)	49 (21 - 75)	49 (21 - 76)
Palpable tumor size (median, mm)	30 (5 - 150)	30 (4 - 150)	30 (4 - 150)
cT3 / 4 (palpation)	86 (16.5)	81 (15.8)	167 (16.2)
cN+	265 (45.1)	275 (46.3)	540 (45.7)
Ki67 >20%	415 (69.2)	418 (69.0)	833 (69.1)
SPARC positive (IRS 6-12)	94 (15.7)	97 (16.0)	191 (15.9)
Grade 3	338 (56.3)	319 (52.6)	657 (54.5)
Breast cancer subtype			
TNBC	137 (22.8)	139 (22.9)	276 (22.9)
HER2-negative / HR-positive	266 (44.3)	268 (44.2)	534 (44.3)
HER2-positive / HR-positive	149 (24.8)	140 (23.1)	289 (24.0)
HER2-positive / HR-negative	48 (8.0)	59 (9.7)	107 (8.9)

Primary Endpoint: pCR (ypT0 ypN0)

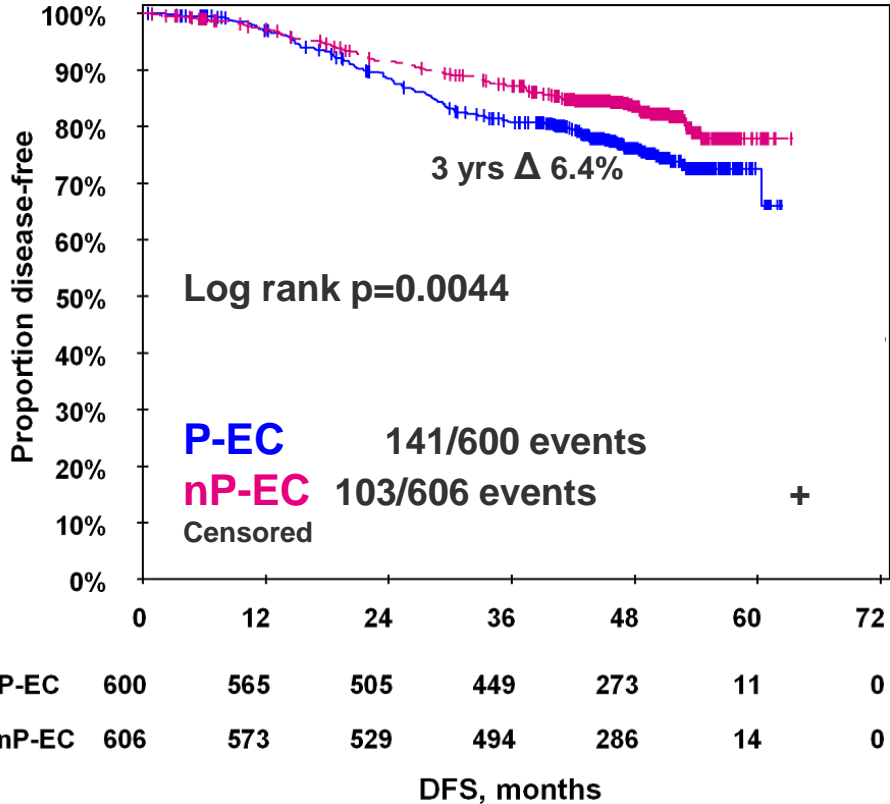


- The substitution of solvent-based paclitaxel (P) with nab-paclitaxel (nP) as neoadjuvant chemotherapy significantly increased the pathological complete response rate (pCR; ypT0 ypN0) overall from 29% to 38% (p < 0.001).
- The largest pCR improvement of absolute 22% (from 26% to 48%; p < 0.001) was achieved in patients with TNBC.
- It has not yet been shown whether this will translate into an improved survival.

Power Calculation

- The analysis of DFS (and other time-to-event endpoints) was planned to be performed after 248 events had occurred.
- In this case the log-rank test would have 80% power to detect an improvement of the 5 year DFS from 75% to 81.8% (HR=0.70) with a 2-sided significance level of $\alpha=0.05$.
- The cut-off date of this analysis was November 16th 2017.
- At that time, 244 confirmed events were in the database with a median follow-up of 49 months (IQR 44.6 - 52.9).

Disease-Free Survival



- Median follow-up of 49 months (IQR 44.6 - 52.9)
- HR (nP-EC vs. P-EC) = 0.69 (95% CI 0.54-0.89)
- Number needed to treat (NNT; 3yrs) = 16 pts

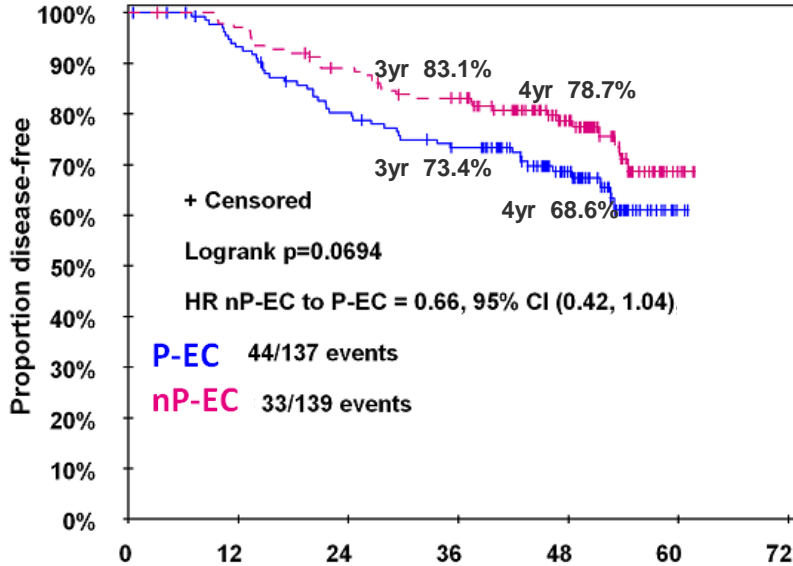
DFS rates (estimated):

Time	P-EC	95% CI, P-EC	nP-EC	95% CI, nP-EC
3 yrs	80.7%	(77.2-83.7)	87.1%	(84.1-89.6)
4 yrs	76.2%	(72.3-79.5)	83.5%	(80.2-86.4)

Disease-Free Survival per Subtype



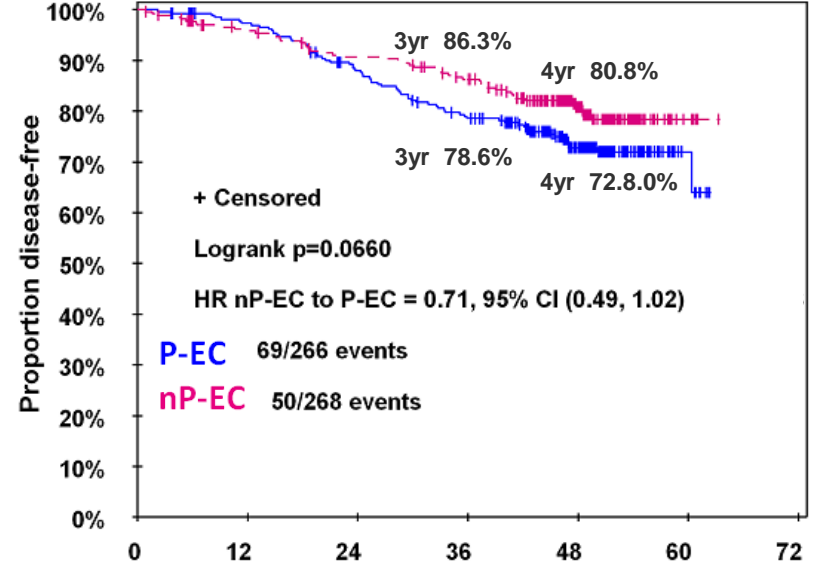
TNBC



P-EC	137	124	105	93	57	2	0
nP-EC	139	134	120	109	67	6	0

DFS, months

HR+HER2-

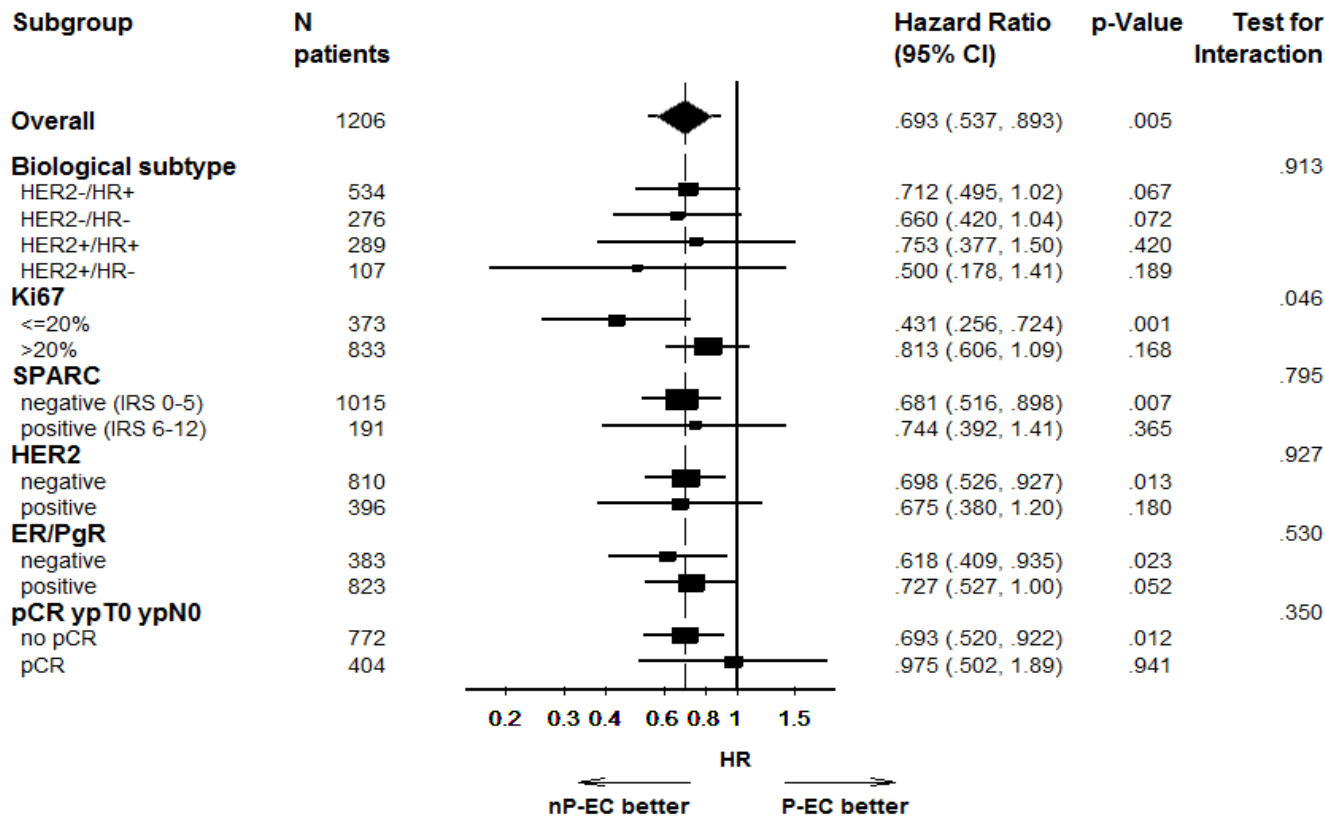


P-EC	266	253	224	197	123	9	0
nP-EC	268	246	229	213	119	8	0

DFS, months

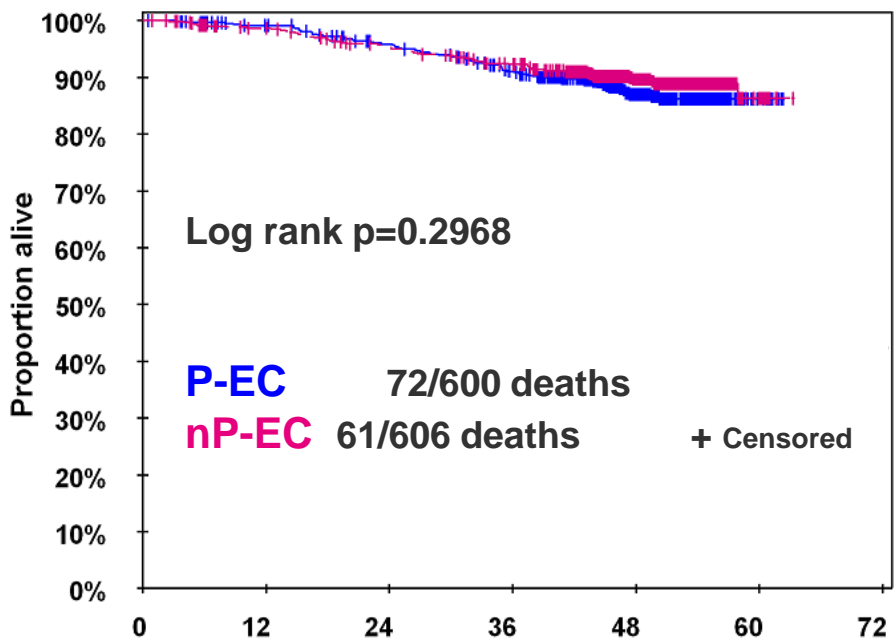
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Forest Plot: Disease-Free Survival



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Overall Survival: Overall



- HR (nP-EC vs. P-EC) = 0.83 (95% CI 0.59-1.17)

OS rates (estimated):

Time	P-EC	95% CI, P-EC	nP-EC	95% CI, nP-EC
3 yrs	91.1%	(88.4-93.1)	92.3%	(89.8-94.2)
4 yrs	87.0%	(83.8-89.6)	89.6%	(86.8-91.9)

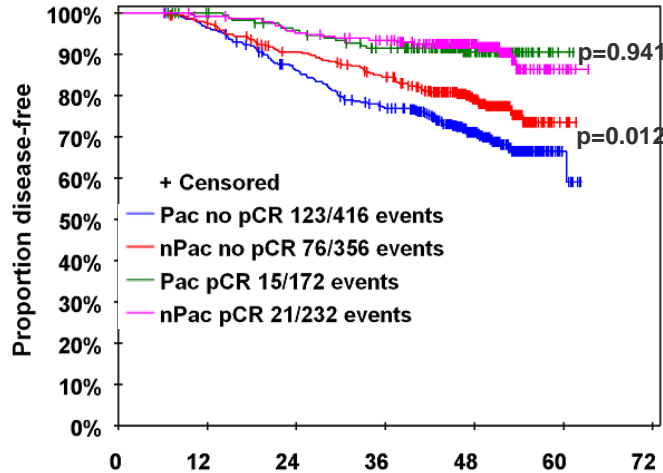
P-EC	600	577	546	504	314	13	0
nP-EC	606	581	551	522	306	15	0

OS, months

Surrogate Value of pCR (exploratory analysis)

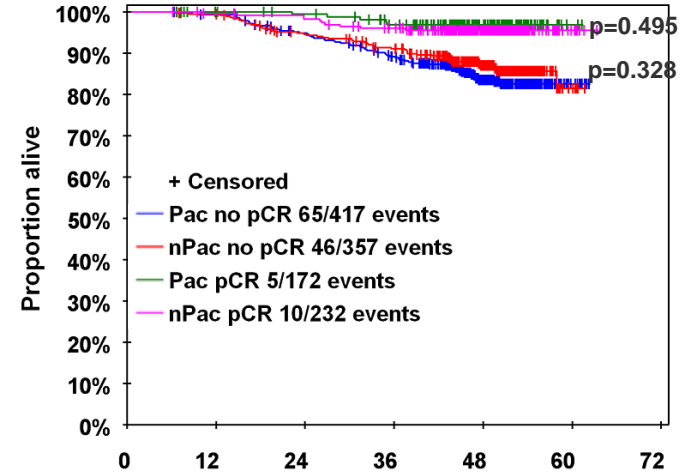


Disease-Free Survival



	0	12	24	36	48	60	72
Pac no pCR	416	398	348	305	194	9	0
nPac no pCR	356	346	312	286	163	7	0
Pac pCR	172	167	157	144	79	2	0
nPac pCR	232	227	217	208	123	7	0

Overall Survival



	0	12	24	36	48	60	72
Pac no pCR	417	410	383	352	229	11	0
nPac no pCR	357	353	327	308	180	8	0
Pac pCR	172	167	163	152	85	2	0
nPac pCR	232	228	224	214	126	7	0

Summary

- GeparSepto demonstrates a significantly improved DFS when patients received nab-paclitaxel instead of paclitaxel (HR=0.69, 95% CI [0.54-0.89; log rank $p=0.0044$).
- A similar treatment effect was observed for patients with TNBC and HR+/HER2- tumors.
- The interaction with Ki67 suggests that nab-paclitaxel generates a long term benefit in particular in tumors with lower proliferation.
- Irrespective of the treatment group, patients achieving a pCR had a significantly better DFS.
- Patients without pCR have a significantly better DFS with nab-paclitaxel than paclitaxel.